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| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 | | ATTORNEY'S DOCKET NUMBER 3081.124US01 U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <div style="font-size: 1.5em; font-weight: bold;">10/551265</div> |
| INTERNATIONAL APPLICATION NO. PCT/EP2004/002388 | INTERNATIONAL FILING DATE 09 March 2004 | PRIORITY DATE CLAIMED 28 March 2003 |
| TITLE OF INVENTION ASSEMBLY FOR ILLUMINATING OBJECTS WITH LIGHT OF DIFFERENT WAVELENGTHS | | |
| APPLICANT(S) FOR DO/EO/US Peter WESTPHAL, Daniel BUBLITZ | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | |
| <ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). <p>Items 11 to 20 below concern document(s) or information included:</p> <ol style="list-style-type: none"> 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A preliminary amendment. 14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | |

JC12 Rec'd PGT/PTC 28 SEP 2005

PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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|--|--------------|---|---------|--|---------------------|
| U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/551265 | | INTERNATIONAL APPLICATION NO. PCT/EP2004/002388 | | ATTORNEY'S DOCKET NUMBER 3081.124US01 | |
| 20. Other items or information: Copy of front page of PCT published application WO 2004/086117 A1; copies of following search reports: International Search Report for application no. PCT/EP2004/002388 and German Search Report for application no. 103 14 125.1; Return Postcard. | | | | | |
| The following fees have been submitted | | | | CALCULATIONS | PTO USE ONLY |
| 21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a))..... \$300 | | | | \$ 300.00 | |
| 22. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0 All other situations.....\$200 | | | | \$ 200.00 | |
| 23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.....\$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB..... \$400 All other situations.....\$500 | | | | \$ 400.00 | |
| TOTAL OF 21, 22 and 23 = | | | | \$900.00 | |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | |
| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole number) | RATE | | |
| 12 - 100 = | 0 /50 = | - | x \$250 | \$ 0.00 | |
| Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)). | | | | \$ | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | \$ | |
| Total claims | 16 - 20 = | 0 | x \$ 50 | \$ 0.00 | |
| Independent claims | 1 - 3 = | 0 | x \$200 | \$ 0.00 | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | + \$360 | \$ | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$ 900.00 | |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. | | | | | |
| SUBTOTAL = | | | | \$ 900.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). | | | | \$ | |
| TOTAL NATIONAL FEE = | | | | \$ 900.00 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | | | \$ | |
| TOTAL FEES ENCLOSED = | | | | \$ 900.00 | |
| | | | | Amount to be refunded: | \$ |
| | | | | Amount to be charged | \$ |

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- a. ☒ A check in the amount of \$ 900.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 16-0631. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Douglas J. CHRISTENSEN
Patterson, Thuent, Skaar & Christensen, P.A.
4800 IDS Center
80 South 8th Street
Minneapolis, Minnesota 55402



SIGNATURE

Douglas J. Christensen

NAME

35,480

REGISTRATION NUMBER

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
PCT RECEIVING OFFICE (RO/US)

In re the application of:

Attorney Docket No.: 3081.124US01

Peter Westphal et al.

Application No.: Unknown

Filed: *Of Even Date*

For: ASSEMBLY FOR ILLUMINATING OBJECTS WITH LIGHT OF DIFFERENT
WAVELENGTHS

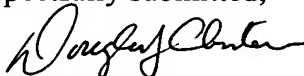
SUBMISSION OF DOCUMENTS

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Enclosed for filing in the above-identified application are the following documents:

1. Certificate of Express Mailing;
2. Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371 (3 pgs.);
3. English translation of patent application with certification (11 pgs.);
4. Copy of front page of PCT Publication No. WO 2004/086117 A1 (2 pgs.);
5. Declaration and Power of Attorney for Patent Application (3 pgs.);
6. Preliminary Amendment (8 pgs.);
7. Information Disclosure Statement (2 pgs.), PTO Form 1449 (1 pg.) and copies of 5 cited references;
8. Copy of International Search Report (4 pgs.) and German Search Report (2 pgs.);
9. Check in the amount of \$900.00; and
10. Return Postcard.

Respectfully submitted,



Douglas J. Christensen
Registration No. 35,480

Patterson, Thuente, Skaar & Christensen, P.A.
4800 IDS Center, 80 South Eighth Street
Minneapolis, Minnesota 55402-2100
(612) 349-3001

CERTIFICATE OF EXPRESS MAIL

"Express Mail" mailing label number EV616920025US. Date of Deposit: September 28, 2005. I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Mai Lee Vang
Name of Person Making Deposit



Signature

MERRILL BRINK
INTERNATIONAL | Language Solutions

Julie Erickson
Patterson, Thuent, Skaar & Christensen
4800 IDS Center
80 South 8th Street
Minneapolis, MN 55402

Dear Ms Thorndike,

Enclosed please find the completed translation from German to English of the patent application, "*Arrangement for illuminating objects with light of different wavelengths*", as well as the corresponding Letters of Certification. Please do not hesitate to contact me with any questions or concerns.

Sincerely Yours,

Bridget Freed
Project Manager
Merrill Brink International
6100 Golden Valley Road
Minneapolis, MN 55422
763-582-0104

10/551265

MERRILL BRINK
INTERNATIONAL

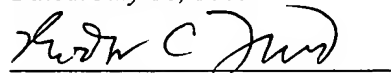
JC12 Rec'd PCT/PTC 28 SEP 2005

State of Minnesota)
)
County of Hennepin) ss:

Certificate of Accuracy

This is to certify that the attached patent application titled, "*Arrangement for illuminating objects with light or different wavelengths*", originally written in German is, to the best of our knowledge and belief, a true, accurate and complete translation into English.

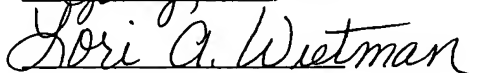
Dated: July 26, 2005



Bridget Freed
Project Manager
Merrill Brink International

Sworn to and signed before
Me this 26th day of

July, 2005



Notary Public

